

A CERTIFIED TRUE COPY

ATTEST

By Dana Stewart on Oct 29, 2009

FOR THE UNITED STATES  
JUDICIAL PANEL ON  
MULTIDISTRICT LITIGATION

UNITED STATES  
JUDICIAL PANEL ON  
MULTIDISTRICT LITIGATION

Oct 13, 2009

FILED  
CLERK'S OFFICE

UNITED STATES JUDICIAL PANEL  
on  
MULTIDISTRICT LITIGATION

IN RE: STANFORD ENTITIES  
SECURITIES LITIGATION

MDL No. 2099

(SEE ATTACHED SCHEDULE)

**CONDITIONAL TRANSFER ORDER (CTO-1)**

On October 6, 2009, the Panel transferred four civil actions to the United States District Court for the Northern District of Texas for coordinated or consolidated pretrial proceedings pursuant to 28 U.S.C. § 1407. *See* \_\_\_ F.Supp.2d\_\_\_ (J.P.M.L. 2009). With the consent of that court, all such actions have been assigned to the Honorable David C. Godbey.

It appears that the actions on this conditional transfer order involve questions of fact that are common to the actions previously transferred to the Northern District of Texas and assigned to Judge Godbey.

Pursuant to Rule 7.4 of the Rules of Procedure of the Judicial Panel on Multidistrict Litigation, 199 F.R.D. 425, 435-36 (2001), these actions are transferred under 28 U.S.C. § 1407 to the Northern District of Texas for the reasons stated in the order of October 6, 2009, and, with the consent of that court, assigned to the Honorable David C. Godbey.

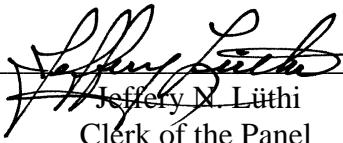
This order does not become effective until it is filed in the Office of the Clerk of the United States District Court for the Northern District of Texas. The transmittal of this order to said Clerk shall be stayed 15 days from the entry thereof. If any party files a notice of opposition with the Clerk of the Panel within this 15-day period, the stay will be continued until further order of the Panel.

FOR THE PANEL:

Inasmuch as no objection is  
pending at this time, the  
stay is lifted.

Oct 29, 2009

CLERK'S OFFICE  
UNITED STATES  
JUDICIAL PANEL ON  
MULTIDISTRICT LITIGATION

  
Jeffery N. Lüthi  
Clerk of the Panel

**IN RE: STANFORD ENTITIES  
SECURITIES LITIGATION**

MDL No. 2099

**SCHEDULE CTO-1 - TAG-ALONG ACTIONS**

**DIST. DIV. C.A. #      CASE CAPTION**

**FLORIDA SOUTHERN**

~~FLS 9 09-81236~~ Lynne Turk, etc. v. Pershing, LLC Opposed 10/27/09

**LOUISIANA MIDDLE**

~~LAM 3 09-675~~ Michael Duane Carter, et al. v. Hank Mills, et al.

~~LAM 3 09-676~~ James Roland, et al. v. Jason Green, et al. Opposed 10/28/09

~~LAM 3 09-678~~ Leah Farr, et al. v. Jason Green, et al. Opposed 10/28/09

~~LAM 3 09-734~~ Numa L. Marquette, Jr., et al. v. Pershing, LLC, et al. Opposed 10/28/09

**UNITED STATES JUDICIAL PANEL**  
**on**  
**MULTIDISTRICT LITIGATION**

**CHAIRMAN:**

John G. Heyburn II  
United States District Court  
Western District of Kentucky

**MEMBERS:**

Robert L. Miller, Jr.  
United States District Court  
Northern District of Indiana

Kathryn H. Vratil  
United States District Court  
District of Kansas

David R. Hansen  
United States Court of Appeals  
Eighth Circuit

W. Royal Furgeson, Jr.  
United States District Court  
Northern District of Texas

Frank C. Damrell, Jr.  
United States District Court  
Eastern District of California

David G. Trager  
United States District Court  
Eastern District of New York

**DIRECT REPLY TO:**

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October 29, 2009

Karen S. Mitchell, Clerk  
1452 Earle Cabell Federal Building & U.S. Courthouse  
1100 Commerce Street  
Dallas, TX 75242-1310

Re: MDL No. 2099 -- IN RE: Stanford Entities Securities Litigation

(See Attached CTO-1)

Dear Ms. Mitchell:

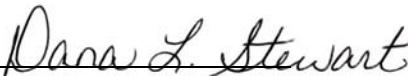
Attached as a PDF document is a certified copy of a conditional transfer order filed by the Panel in the above-captioned matter on October 13, 2009. As stipulated in Rule 7.4(a) of the Rules of Procedure of the Judicial Panel on Multidistrict Litigation, 199 F.R.D. 425, 435-36 (2001), transmittal of the order has been stayed 15 days to give any party an opportunity to oppose the transfer. The 15-day period has now elapsed, no opposition was received, and the order is directed to you for filing.

The Panel's governing statute, 28 U.S.C. §1407, requires that the transferee clerk "...transmit a certified copy of the Panel's order to transfer to the clerk of the district court from which the action is being transferred."

A list of involved counsel is attached.

Very truly,

Jeffery N. Lüthi  
Clerk of the Panel

By   
Dana L. Stewart  
Deputy Clerk

Attachments (Conditional Transfer Order is a Separate Document)

cc: Transferee Judge: Judge David C. Godbey

**IN RE: STANFORD ENTITIES  
SECURITIES LITIGATION**

MDL No. 2099

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